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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/017,924	12/07/2001	Hsin-Hong Huang	10541/775	1505

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EXAMINER

GARCIA, ERNESTO

ART UNIT	PAPER NUMBER
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3679

DATE MAILED: 01/11/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/017,924

Applicant(s)

HUANG ET AL.

Examiner

Ernesto Garcia

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 August 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1 and 5-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1 and 5-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 August 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Drawings

The drawings were received on August 18, 2004. These drawings are not acceptable as the drawing sheet fails to comply with CFR 1.121, which states "any replacement drawing sheet must be identified in the top margin as "Replacement Sheet".

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 9-18 are rejected under 35 U.S.C. 102(b) as being anticipated by Newell, 3,865,500 (see marked-up attachment).

Regarding claim 9, given the structure as recited in claim 14 below, the method is inherently performed. Therefore, Newell discloses a method comprising.

provide a driving member **11** with a first polygonal interface **14a** and a driven member **14,15** with a second polygonal interface **16**. The first polygonal interface **14a**

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has a first straight segment, a second straight segment, and a twisted segment positioned between the first and second straight segments. The second straight segment **15a**, the twisted segment **14b**, and the twisted segment **14b** engage the second polygonal interface **16**. The twisted segment **14b** is twisted from about 0 degree 10' to about 1 degree between the first straight segment **A6** and the second straight segment **15a**. ; and,

join the driving member with the driven member.

Regarding claim 10, the driven member **14,15** comprises a shaft and the driving member **11** comprises a flange.

Regarding claim 11, the driven member **14,15** comprises a shaft having a male polygonal interface.

Regarding claim 12, the driven member **14,15** comprises a shaft having a male polygonal interface. The twisted segment **14b** is twisted from about 0 degree 20' to about 0 degree 50'.

Regarding claim 13, the driving member **11** and the driven member **14,15** comprise one of a group consisting of a compressor, a pump, a machine tool, a mechanical drive, a generator, and a motor.

Regarding claim 14, Newell discloses, in Figure 1, a coupling comprising a shaft **14,15** and a mounting device **11**. The shaft **14,15** has a first polygonal interface **14a**. The mounting device **11** has a second polygonal interface **16**. The first polygonal interface **14a** is selected from the group consisting of concave, convex, and straight surfaces. The first polygonal interface **14a** includes a first straight segment **A6**, a second straight segment **15a**, and a twisted segment **14b** (Fig. 4) positioned between the first straight segment **A6** and the second straight segment **15a**. The first straight segment **A6**, the second straight segment **15a**, and the twisted segment **14b** engage the second polygonal interface **16**. The twisted segment **14b** is twisted from about 0 degree 10' to about 1 degree between the first straight segment **A6** and the second straight segment **15a**.

Regarding claim 15, the mounting device **11** comprises a flange. Column 2, in lines 55-58, states that **11** is a hub portion. Thus, the hub, equivalent to the flange, is not shown. Figure 1 merely shows the shaft **11** of the hub.

Regarding claim 16, the first polygonal interface **14a** comprises a male polygonal length with the twisted segment **14b** being twisted from about 0 degree 20' to about 0 degree 50'.

Regarding claim 17, the first polygonal interface **14a** has a relative eccentricity of from about 1.5% to about 4%.

Regarding claim 18, the shaft **14,15** or the mounting device **11** are straight.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 5-8 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Newell, 3,865,500 (see marked-up attachment), in view of Bunce, 5,899,813.

Regarding claim 1, Newell discloses, in Figure 1, a coupling comprising a driving member **11** and a driven member **14,15**. The driving member **11** has a first polygonal interface **14a**. The driven member **14,15** has a second polygonal interface **16**. The first polygonal interface **14a** includes a first straight segment **A6**, a second straight segment **15a**, and a twisted segment **14b** (Fig. 4) positioned between the first straight segment **A6** and the second straight segment **15a**. The first straight segment **A6**, the second straight segment **15a**, and the twisted segment **14b** engage the second polygonal interface **16**. However, Newell fails to disclose the first polygonal interface **14a** selected from the group consisting of concave and convex surfaces. Bunce teaches, in Fig. 4B,

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a first polygonal interface selected from the group consisting of concave and convex surfaces as part of a design consideration to eliminate localized stress areas (col. 1, lines 56-61). Therefore, as taught by Bunce, it would have been obvious to one of ordinary skill in the art at the time the invention was made to design the first polygonal interface selected from the group consisting of concave and convex surfaces to eliminate localized stress areas.

Regarding claim 5, the driving member **11** comprises a shaft **14,15** having a male polygonal length. The twisted segment **14b** is twisted from about 0 degree 20' to about 0 degree 50'.

Regarding claim 6, the driving member **11** or the driven member **14,15** is straight.

Regarding claim 7, the first polygonal interface **14a** has a relative eccentricity of from about 1.5% to about 4%.

Regarding claim 8, given the modification, the driven member **14,15** comprises a shaft having a concave male polygonal interface with a number of sides selected from the group consisting of 3 to 12.

Regarding claim 19, Newell, as discussed above, disclose the shaft **14,15** having a male polygonal length with a number of sides selected from the group consisting of 3

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to 12; however, the male polygonal length is not concave. Bunce discusses, in column 2 in line 60, that polygonal lengths have been known to be either straight or concave as part of prior design choices. Therefore, as taught by Bunce, it would have been obvious to one of ordinary skill in the art at the time the invention was made to design the male polygonal length as a concave male polygonal length as part of prior design choices versus a straight polygonal length.

Response to Arguments

Applicant's arguments with respect to claims 1 and 5-19 have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. In particular, the added limitation "the first segment, the second segment, and the twisted segment all engage the first or the second polygonal interface" in lines 11-13 in claim 1, in lines 7-9 in claim 9, and in lines 9-11 in claim 14, necessitated the new grounds of rejection. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ernesto Garcia whose telephone number is 703-308-8606. The examiner can normally be reached from 9:30-6:00. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9326 for regular communications and 703-872-9327 for After Final communications.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Daniel P. Stodola can be reached on 703-308-2686. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-1113.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for

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published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free).

S. I.

Daniel P Stodola

E.G.

December 29, 2004

Attachment: one marked-up page of Newell, 3,865,500.

**DANIEL P. STODOLA
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600**

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(Newell) 3,865,500

